

**Federal Democratic Republic of Ethiopia
Ministry of Urban and Infrastructure (MUI)**

**Second Urban Institutional & Infrastructure Development Program
(P179713)**

Appraisal

**Environmental and Social Commitment Plan (ESCP)
February 2024**

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Federal Democratic Republic of Ethiopia (the Recipient) will implement the IPF component of the Second Urban Institutional & Infrastructure Development Program (UIIDP 2) (the Project), with the involvement of Ministry of Urban and Infrastructure (MUI), as set out in the Financing Agreement. The International Development Association (the Association) has agreed to provide financing for the Project, as set out in the referred agreement.
2. The Recipient shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipient shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring, and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
4. As agreed by the Association and the Recipient, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Recipient and the Association agree to update the ESCP to reflect these changes through an exchange of letters signed between the Association and the Borrower's designated official, the Ministry of Urban and Infrastructure. The Recipient shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
MONITORING AND REPORTING			
A	<p>REGULAR REPORTING</p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of screening required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanisms.</p>	Submit bi-annual and annual reports to the Association throughout Project implementation, commencing after the Effective Date.	Ministry of Urban and Infrastructure (MUI)
B	<p>INCIDENTS AND ACCIDENTS</p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury, including those that could be caused due to exposure to potentially hazardous chemicals (pesticides). Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Association’s request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Association no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Association within fifteen (15) days or within a timeframe acceptable to the Association.</p>	MUI
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			
1.1	<p>ORGANIZATIONAL STRUCTURE</p> <p>Maintain and utilize E&S staff recruited under UIIDP at all the respective levels of Urban Local Governments (ULGs) proportionate to the envisioned workload and levels of E&S risks</p>	Maintain and utilize these positions throughout Project implementation.	MUI

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
1.2	<p>TECHNICAL ASSISTANCE</p> <p>Ensure that the consultancies, studies, capacity building, training, and any other technical assistance activities under the Project are carried out in accordance with terms of reference acceptable to the Association, that are consistent with the ESSs. Thereafter ensure that the outputs of such activities comply with the terms of reference.</p>	Throughout Project implementation	MUI
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES</p> <p>Ensure that working conditions and the management of worker relationships, as well as the protection of the workforce, grievance mechanisms, and occupational Health and Safety (OHS) measures and a code of conduct with SEA/SH provisions are implemented in accordance with the requirements of ESS2.</p>	<i>Throughout program implementation.</i>	MUI
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS</p> <p>Establish and operate a grievance mechanism for Project workers consistent with ESS2.</p>	Throughout Project implementation	MUI
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			
3.1	<p>ESS3 is not relevant at this stage. However, during the implementation stage, each TA will undergo further screening. If any potential downstream pollution or energy efficiency risks are identified during further screening, the relevant aspects of the standard will be taken into consideration for the TA.</p>	Throughout the project implementation	MUI
ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	<p>Technical Assistants (TAs) supported by the IPF component will not pose any adverse impacts on community health and safety. Hence, ESS4 is not relevant in this stage. Nevertheless, during the implementation phase, each TA will undergo further evaluation. If any potential downstream risks to community health and safety are identified during this evaluation, the relevant aspects of the standard will be taken into account for the TA.</p>	Throughout the project implementation	MUI
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
5.1	ESS5 is not relevant to the Project.		
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	<p>BIODIVERSITY RISKS AND IMPACTS</p> <p>ESS6 is not applicable at this stage, but during the implementation phase, each TA will undergo additional screening. If any potential downstream risks to biodiversity and habitats are identified during further screening, the relevant aspects of the standard will be considered for the TA.</p>	<i>Throughout Project implementation</i>	MUI
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	<p>SOCIAL ASSESSMENT / SOCIAL DEVELOPMENT PLAN</p> <p>ESS7 is not relevant to the Project.</p>		
ESS 8: CULTURAL HERITAGE			
8.1	<p>CULTURAL HERITAGE RISKS AND IMPACTS:</p> <p>The technical assistance activities are not anticipated to cause any adverse impacts on cultural heritage. If any potential downstream risks to cultural heritage are identified during further screening, the relevant aspects of the standard will be considered for the TA.</p>	Throughout Project implementation	MUI
ESS 9: FINANCIAL INTERMEDIARIES			
9.1	ESS9 is not relevant to the Project.		
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	<p>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</p> <p>Prepare ,disclose and implement the Stakeholder Engagement Plan (SEP), consistent with ESS10, including measures to, inter alia, provide stakeholders with timely, relevant, understandable, and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.</p>	SEP shall be prepared and disclosed prior to appraisal and implemented throughout Project implementation.	MUI
10.2	<p>PROJECT GRIEVANCE MECHANISM</p>	Establish grievance mechanism prior to project implementation, and thereafter	MUI

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
	<p>Establish, publicize, maintain, and operate an accessible grievance mechanism, to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously.</p> <p>The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of the different project grievances, including SEA/SH complaints and refer survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.</p>	maintain and operate the mechanism throughout Project implementation.	
CAPACITY SUPPORT			
CS1	<p>Conduct capacity building training for targeted groups, including, Project workers and Project staff, stakeholders, communities on:</p> <ul style="list-style-type: none"> ● ES risk management procedures and instruments. ● Stakeholder mapping and engagement. ● Occupational and Community Health and Safety including safe working procedures. ● Grievance redresses mechanisms and procedures. ● SEA/SH prevention measures. 	Regularly, throughout Project implementation.	MUI